

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lu et al. Art Unit : 1648
Serial No. : 10/728,195 Examiner : Bo Peng
Filed : December 3, 2003 Conf. No. : 7308
Title : POLYVALENT, PRIMARY HIV-1 GLYCOPROTEIN DNA VACCINES AND
VACCINATION METHODS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance.

The fee in the total amount of \$180 is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization.

Please apply any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 17738-003001.

Respectfully submitted,

Date: 7/11/2006


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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17738-003001	Application No. 10/728,195
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Lu et al.	
		Filing Date December 3, 2003	Group Art Unit 1648

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	B1						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	C40	Zhan et al., "Minor components of a multi-envelope HIV vaccine are recognized by type-specific T-helper cells," <i>Vaccine</i> 22(9-10):1206-13 (2004)
	C41	Zolla-Pazner et al., "Immunotyping of human immunodeficiency virus type 1 (HIV): an approach to immunologic classification of HIV," <i>J. Virol.</i> 73: 4042-51 (1999)
	C42	HIV Vaccine Development Status Report, May 2000, http://nidk.nih.gov/daid/svaccine/whsummarystatus.htm
	C43	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	